

FORM PTO-1449 (Modified)			Attorney Docket No.: 005284.00196		Application No.: TBA	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: DAVID HUNG et al.			
			Filing Date: June 27, 2003		Group: 3763	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1 of 5
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
SK	AA	3,608,540	09/28/00	Sartorius		
	AB	3,786,801	1/22/74	Sartorius		
	AC	3,821,956	7/2/74	Gordhammer, et al.		
	AD	4,406,653	9/27/83	Nunez		
	AE	4,543,087	9/24/85	Sommercorn, et al.		
	AF	4,613,329	9/23/86	Bodicky		
	AG	4,739,768	4/26/88	Engelson		
	AH	4,790,830	12/13/88	Hamacher		
	AI	4,795,424	1/3/89	Barner, et al.		
	AJ	4,878,903	11/07/89	Mueller		
	AK	4,957,484	9/18/90	Murfeldt		
	AL	5,176,647	1/5/93	Knoepfler		
	AM	5,183,470	2/2/93	Wetterman		
	AN	5,279,551	1/18/94	James		
	AO	5,300,022	04/05/94	Klapper et al.		
	AP	5,333,609	8/2/94	Bedingham, et al.		
	AQ	5,350,358	9/27/94	Martin		
	AR	5,364,344	11/15/94	Beattie, et al.		
	AS	5,368,574	11/29/94	Antonacci, et al.		
	AT	5,593,393	1/14/97	Trudell, et al.		
	AU	5,599,324	2/4/97	McAlister		
	AV	5,637,102	6/10/97	Tolkoff, et al.		
	AW	5,649,909	7/22/97	Cornelius		
	AX	5,683,640	11/4/97	Miller, et al.		
	AY	5,702,365	12/30/97	King		
	AZ	5,704,925	1/6/98	Otten et al.		
	BA	5,795,326	8/18/98	Siman		
	BB	5,797,869	8/25/98	Martin et al.		
	BC	5,807,329	9/15/98	Gelman		
	BD	5,810,789	09/22/98	Powers et al.		
	BE	5,810,867	9/22/98	Zarbatany		
SK	BF	5,827,237	10/27/98	Macoviak et al.		
FOREIGN PATENT DOCUMENTS						
	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
SK	BG	EP 448,395	09/25/91	Europe		

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

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of 5

**Complete if Known**

Application Number	TBA
Filing Date	June 27, 2003
First Named Inventor	David Hung
Group Art Unit	3763
Examiner Name	Unknown
Attorney Docket Number	005284.00196

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
SK		US-4,925,452	05/15/1990	Melnyshyn et al.	
		US- 5,322,519	08/21/1994	Ash	
		US- 5,891,111	04/06/1999	Ismael	
		US- 5,904,715	05/18/1999	Jeter et al.	
		US- 6,221,822	04/24/2001	Love	
SK		US- 5,683,420	11/04/1997	Jeter et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	To Be Assigned
		Filing Date	June 27, 2003
		First Named Inventor	David Hung
		Group Art Unit	3763
		Examiner Name	Unknown
		Attorney Docket Number	005284.00196
Sheet	3	of	5

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SK		Barsky, et al. "Pathologic analysis of breast duct endoscoped mastectomies" Proc. Annual Meeting Am. Assoc. Cancer Res. 34, abstracts #67.	
		"Breast Fluid Cells Help In Early Cancer Detection" JAMA, Vol. 224, No. 6, May 7, 1973.	
		Leborgne, "The Breast In Roentgen Diagnosis", Uruguay 1953	
		Makita, et al. "Duct Endoscopy and Endoscopic Biopsy in the Evaluation of Nipple Discharge", Breast Cancer Research and Treatment, 18: 179-188, 1991	
SK		Okazaki, et al., "Relationship Between Cytologic Results and the Extend of Intraductal Spread in Nonpalpable Breast Cancers With Nipple Discharge", Tumor Res. 31, 89-97, 1996	

Examiner Signature	S Kennedy	Date Considered	7/20/04
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Examiner Name	Unknown
Attorney Docket Number	005284.00196

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
SK		Wensch et al, Breast Cancer Res Treat, Factors associated with obtaining nipple aspirate fluid: Analysis of 1428 women and literature review, Vol. 15, pp 39-21, 1980	
		Wensch et al, Breast Fluid Cholesterol and Cholesterol B-Epoide Concentrations in Women with Benign Breast Disease, Cancer Res., Vol. 49, pp 2168-2174, 1989	
		Mechanical Engineering, Vol. 118 (10), pp 65-68, 1996	
		King et al, Analytic Studies of Foam Cells From Breast Cancer Precursors, Cytometry, Vol. 5, pp 124-130, 1984	
		King et al, Cellular Composition of the Nipple Aspirate Specimen of Breast Fluid, A.J.C.P., Vol. 64, pp 739-748, 1975	
		King et al, Cellular Composition of the Nipple Aspirate Specimen of Breast Fluid, A.J.C.P., Vol. 64, pp 728-738, 1975	
		Masood et al, The Missing Link: A "Pap Smear" For Early Breast Cancer Detection and Prevention, The Breast Journal, Vol. 5, pp 1-2, 1999	
		Sartorius et al, Cytologic Evaluation of Breast Fluid in the Detection of Breast Disease, Journal of National Cancer, Vol. 59, pp 1073-1080, 1977	
		Sauter et al, Nipple aspirate fluid: a promising non-invasive method to identify cellular markers of breast cancer risk, British J. Cancer, Vol. 76(4), pp 494-501, 1997	
		Wensch et al, Breast Cancer Incidence in Women with Abnormal Cytology in Nipple Aspirates of Breast Fluid, Amer J. Epid., Vol. 135, pp 130-141, 1992	
SK		Frykberg and Masood Copeland EM 3d Bland Kl., (Ductal carcinoma in situ of the breast) Surgery, Gynecology & Obstetrics, Vol. 177 (4), pp 425-40, 1993	

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SK		Papanicolaou et al, Exfoliative Cytology Of The Human Mammary Gland And Its Value In The Diagnosis Of Cancer And Other Diseases Of The Breast, Cancer, Vol. 11, pp 377-409, 1958	
		Goodson WH & King EB, Chapter 4: Discharges and Secretions of the Nipple, The Breast: Comprehensive Management of Benign and Malignant Diseases, 2 <sup>nd</sup> Ed., Bland & Kirby Eds, W.B. Saunders Co, Philadelphia, PA, Vol. 2., pp 51-74, 1998	
		King et al, Nipple Aspirate Cytology for the Study of Breast Cancer Precursors, Journal of the National Cancer Institute, Vol 71(6), pp 1115-21, 1983	
		Wrensch et al, Breast Cancer Incidence in Women with Abnormal Cytology in Nipple Aspirates of Breast Fluid, Am. J. Epidemiology, Vol. 135 (2), pp 130-141, 1992	
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		Petrakis, Studies on the Epidemiology and Natural History of Benign Breast Disease and Breast Cancer Using Nipple Aspirate Fluid, Cancer Epidemiology, Biomarker Prev., Vol. 2, pp 3-10, 1993	
SK		Petrakis, Physiologic, biochemical, and cytologic aspects of nipple aspirate fluid, Breast Cancer Res. Treat, Vol. 8, pp 7-19, 1986	

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